



RECEIVED

NOV 22 1995

OFFICE OF COMMISSIONERS
OF PATENTS

Certificate of Mailing

PATENT

Atty Dkt 2000-0526.21

Client LA93-076-02

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 14 August 1995.

Sharon Lawls
Signature

SHARON LAWLS
Typed or printed name

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Christopher J. EVANS et al.

Serial No.: 08/403,260

Group Art Unit: Unknown

Filed: 13 March 1995

Examiner: Unknown

For: OPIOID RECEPTOR GENES

PETITION TO CORRECT INVENTORSHIP
PURSUANT TO 37 CFR 1.48(a)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants hereby petition to correct the inventorship of the above-referenced application by adding the names of Robert H. Edwards and Daniel Kaufman. Thus, the correct inventorship in the herein application should read Christopher J. Evans, Duane E. Keith, Robert H. Edwards and Daniel Kaufman. A statement of facts verified by the original named inventors, Christopher J. Evans and Duane E. Keith establishing when the error without deceptive intention was discovered and how it occurred is attached.

250 SW 08/28/95 08403260

1 122 130.00 CK

Correction of the inventorship herein is
respectfully requested.

Respectfully submitted,

By: Kate H. Murashige
Kate H. Murashige
Registration No. 29,959

MORRISON & FOERSTER
2000 Pennsylvania Avenue, N.W.
Suite 5500
Washington, D.C. 20006-1888
Tel: (202) 887-1500
Fax: (202) 887-0763

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Arty Dkt No: 22000-20526 22

Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

(1) Christopher J. Evans
(2) Duane E. Ketih
(3) Robert H. Edwards
(4) Daniel Kaufman

Additional name(s) of conveying party(ies) attached? _ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **The Regents of the University of California**

Internal Address: _

Street Address: **300 Lakeside Drive, 22nd Flr.**

City: **Oakland** State: **CA** ZIP: **94612-3550**

Additional name(s) & address(es) attached? _ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

_ Merger

_ Security Agreement

_ Change of Name

_ Other: _

Execution Date: (1) and (2) 17 May 1995

(3) 23 May 1995

(4) 14 May 1995

4. Application number:

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

08/403,260

B. Patent No.(s)

Additional numbers attached? _ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Kate H. Murashige, Esq.
MORRISON & FOERSTER
2000 Pennsylvania Avenue, N.W.
Suite 5500
Washington, D.C. 20006-1888

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed

_ Authorized to be charged to deposit account

8. Deposit account number:

03-1952

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kate H. Murashige

Name of Person Signing

Registration No. 29,959

Kate H. Murashige
Signature

2507SW 08/28/95 08403.260

14 August 1995

Date

1 581

40.00 CK

Total number of pages comprising cover sheet, attachments and document: 2

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner for Patents

Box Assignments

Washington, D.C. 20231

ASSIGNMENT

U.C. Case No.: LA93-076-02

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Christopher J. Evans
3. Robert H. Edwards

2. Duane E. Keith
4. Daniel Kaufman

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 300 Lakeside Drive, 22nd Floor, Oakland, CA 94612-3550, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

OPIOID RECEPTOR GENES

and which is found in

- (a) ☐ U.S. patent application executed on _____, entitled as above and listing the above named person(s) as inventor(s)
- (b) ☒ U.S. application serial no. 08/403,260, filed on 13 March 1995
- (c) ☐ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR(S) and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature(s) of Inventor(s)

- 1 17 day of May, 1995
- 2 17 day of May, 1995
- 3 23 day of May, 1995
- 4 14 day of May, 1995

[Signature]
Duane E. Keith
[Signature]
Daniel Kaufman